

भारतीय प्रतिभूति और विनियम बोर्ड  
SECURITIES AND EXCHANGE BOARD OF INDIA  
(स्टॉक ब्रोकर और उप-ब्रोकर) विनियम, 1992  
(STOCK BROKERS AND SUB-BROKERS) REGULATIONS, 1992  
0000697 (नियम 6 एवं 10ए / Regulations 6 and 10E)  
रजिस्ट्रिकरण प्रमाणपत्र  
CERTIFICATE OF REGISTRATION

बोर्ड, भारतीय प्रतिभूति और विनियम बोर्ड अधिनियम, 1992 के अर्धन बनाये गये विनियमों और विनियमों के साथ पठित उस अधिनियम की धारा 12 की उप-धारा (1) द्वारा प्रस्तुत शर्तियों का प्रयोग करते हुए  
In exercise of the powers conferred by sub-section (1) of section 12 of the Securities and Exchange Board of India Act, 1992, read with the rules and regulations made thereunder, the Board hereby grants a certificate of registration to

SHAREKHAN LIMITED  
Trade Name: SHAREKHAN LIMITED  
LODHA, I THINK TECHNO CAMPUS,  
10TH FLOOR, BETA BUILDING, OFF. J.V.L.R,  
OPP. KANJURMARG RAILWAY STATION  
KANJURMARG (E)  
MUMBAI  
MAHARASHTRA  
400042

को प्रतिभूति में क्रय, विक्रय या ब्रोकर / व्यापारों के समायोजन तथा निपटान के क्रयविक्रय करने के लिए और ऐसे अन्य क्रयविक्रय जो स्टॉक एक्सचेंज (एक्सचेंज) / समायोजन निगम (निगम) द्वारा अनुमति हो करने के लिए, बोर्ड द्वारा, समय-समय पर, उसके लिए विनिर्दिष्ट शर्तों के अधीन, स्टॉक दलाल / सार्वजनिक व्यापारिक सदस्य / समायोजन सदस्य के रूप में रजिस्ट्रिकरण प्रमाणपत्र प्रदान करता है।  
as a Stock Broker

stock broker / proprietary trading member / clearing member for carrying on the activities of buying, selling or dealing in securities / clearing and settlement of trades and for carrying on such other activities as are permitted by stock exchange(s) / clearing corporation(s), subject to the conditions specified therefor, from time to time, by the Board.

अपडिटेड रजिस्ट्रिकरण संख्या निम्नानुसार है / Registration number allotted is as under: INZ000171337

यह प्रमाणपत्र तब तक विधिवत रोग्य जब तक यह विनियमों के अनुसार निरस्त या रद्द नहीं हो जाता।  
This certificate shall be valid till it is suspended or cancelled in accordance with the Regulations.

आदेश द्वारा / By order  
भारतीय प्रतिभूति और विनियम बोर्ड के लिए और को ओर से  
For and on behalf of Securities and Exchange Board of India  
ANUPMA CHADHA  
आधिकृत हस्ताक्षरकर्ता / Authorised Signatory

तारीख / Date: March 26, 2018

संविभाग प्रबंधक  
PORTFOLIO MANAGER  
0000870  
भारतीय प्रतिभूति और विनियम बोर्ड  
SECURITIES AND EXCHANGE BOARD OF INDIA  
[संविभाग (पोर्टफोलियो) प्रबंधक] विनियम, 1993  
(PORTFOLIO MANAGERS) REGULATIONS, 1993  
(नियम 8)  
(Regulation 8)  
रजिस्ट्रिकरण का प्रमाणपत्र  
CERTIFICATE OF REGISTRATION

I. बोर्ड, संविभाग (पोर्टफोलियो) प्रबंधकों के लिए भारतीय प्रतिभूति और विनियम बोर्ड अधिनियम, 1992 के अर्धन बनाये गये विनियमों और विनियमों के साथ पठित उस अधिनियम की धारा 12 की उप-धारा (1) द्वारा प्रस्तुत शर्तियों का प्रयोग करते हुए,  
II. In exercise of the powers conferred by sub-section (1) of section 12 of the Securities and Exchange Board of India Act, 1992 read with the rules and regulations made thereunder for the Portfolio Managers, the Board hereby grants a certificate of registration to

SHAREKHAN LIMITED  
10TH FLOOR, BETA BUILDING,  
LODHA I THINK TECHNO CAMPUS,  
OFF. J.V.L.R, OPP. KANJURMARG RAILWAY STATION,  
KANJURMARG (E), MUMBAI - 400 042.

को इसके द्वारा संविभाग (पोर्टफोलियो) प्रबंधक के रूप में रजिस्ट्रिकरण का प्रमाणपत्र विनियमों के अर्धन गृह्य और विनियमों के अनुसार प्रदान करता है।  
as a Portfolio Manager subject to the conditions in the rules and in accordance with the regulations.

II. संचालन (पोर्टफोलियो) प्रबंधक के लिए रजिस्ट्रिकरण संख्या  
II. Registration Code for the Portfolio Manager is P/M/ INP000005786

III. जब तक नवीकृत न किया जाए रजिस्ट्रिकरण का प्रमाणपत्र से तब तक विधिवत रोग्य।  
III. Unless renewed, the Certificate of Registration is valid from to

यह रजिस्ट्रिकरण प्रमाणपत्र तब तक विधिवत रोग्य, जब तक कि इसे बोर्ड द्वारा निरस्त या रद्द न हो जाय।  
The certificate of Registration shall be valid till it is suspended or cancelled by the Board.

भारतीय प्रतिभूति और विनियम बोर्ड  
For and on behalf of  
Securities and Exchange Board of India  
Naveen Sharma  
आधिकृत हस्ताक्षरकर्ता / Authorised Signatory

स्थान Place: Mumbai  
तारीख Date: August 02, 2017

भारतीय प्रतिभूति और विनियम बोर्ड  
SECURITIES AND EXCHANGE BOARD OF INDIA  
(निवेश सलाहकार) विनियम, 2013  
(INVESTMENT ADVISORS) REGULATIONS, 2013  
0001512 (नियम 9)  
(Regulation 9)  
निवेश (निवेश) सलाहकार के रूप में रजिस्ट्रिकरण का प्रमाणपत्र  
CERTIFICATE OF REGISTRATION AS INVESTMENT ADVISER

I. बोर्ड, भारतीय प्रतिभूति और विनियम बोर्ड अधिनियम, 1992 (1992 का 15) के अर्धन बनाये गये विनियमों के साथ पठित उस अधिनियम की धारा 12 की उप-धारा (1) द्वारा प्रस्तुत शर्तियों का प्रयोग करते हुए,  
II. In exercise of the powers conferred by sub-section (1) of section 12 of the Securities and Exchange Board of India Act, 1992 (15 of 1992) read with the regulations made thereunder, the Board hereby grants a certificate of registration to

WEALTHTIGER INVESTMENT ADVISORS PRIVATE LIMITED  
10TH FLOOR, BETA BUILDING, LODHA-I THINK TECHNO CAMPUS,  
OFF. J.V.L.R, OPP. KANJURMARG RAILWAY STATION,  
KANJURMARG (EAST),  
MUMBAI - 400 042.

को अधिनियम में और उसके अर्धन बनाये गये विनियमों के विनिर्दिष्ट शर्तों के अधीन, निवेश (निवेश) सलाहकार के रूप में प्रमाणपत्र प्रदान करता है।  
as an Investment Adviser, subject to the conditions specified in the Act and in the regulations made thereunder.

II. संचालन (निवेश) सलाहकार की रजिस्ट्रिकरण संख्या  
II) The Registration Number of the Investment Adviser is INA000011325

भारतीय प्रतिभूति और विनियम बोर्ड  
For and on behalf of  
Securities and Exchange Board of India  
Dipanjana Mitra  
आधिकृत हस्ताक्षरकर्ता / Authorised Signatory

स्थान Place: MUMBAI  
तारीख Date: August 02, 2018

भारतीय प्रतिभूति और विनियम बोर्ड  
SECURITIES AND EXCHANGE BOARD OF INDIA  
(निवेशपार और सहभागी) विनियम, 1996  
(DEPOSITORIES AND PARTICIPANTS) REGULATIONS, 1996  
003044 सहभागी के रूप में रजिस्ट्रिकरण प्रमाणपत्र  
CERTIFICATE OF REGISTRATION AS PARTICIPANT

I. बोर्ड, भारतीय प्रतिभूति और विनियम बोर्ड अधिनियम, 1992 (1992 का 15) के अर्धन बनाये गये विनियमों और विनियमों के साथ पठित उस अधिनियम की धारा 12 की उप-धारा (1A) द्वारा प्रस्तुत शर्तियों का प्रयोग करते हुए,  
II. In exercise of the powers conferred by sub-section (1A) of section 12 of the Securities and Exchange Board of India Act, 1992 (15 of 1992), read with the regulations made thereunder and with the Depositories Act, 1996 (22 of 1996), the Board hereby grants a certificate of registration to

SHAREKHAN LIMITED  
1 THINK TECHNO CAMPUS,  
BETA 10TH FLOOR,  
MUMBAI,  
400042

को अधिनियम, विनियम अधिनियम और उनके अर्धन बनाये गये विनियमों के विनिर्दिष्ट शर्तों के अधीन, निवेशपार के रूप में रजिस्ट्रिकरण प्रमाणपत्र प्रदान करता है।  
as a participant subject to the conditions specified in the Act, the Depositories Act and the regulations made thereunder.

II. संचालन की रजिस्ट्रिकरण संख्या है :  
II. Registration Number for participant is IN-DP-365-2018

REGISTRATION  
18/04/2018

III. यह रजिस्ट्रिकरण प्रमाणपत्र तब तक विधिवत रोग्य रहेगा, जब तक कि इसे बोर्ड द्वारा निरस्त या रद्द न हो जाय।  
III. This Certificate of Registration shall be valid unless it is suspended or cancelled by the Board.

आदेश द्वारा  
भारतीय प्रतिभूति और विनियम बोर्ड  
For and on behalf of  
Securities and Exchange Board of India  
ANUPMA CHADHA  
आधिकृत हस्ताक्षरकर्ता / Authorised Signatory

स्थान Place: मुंबई/Mumbai  
तारीख Date: April 18, 2018

**Sharekhan**  
by BNP PARIBAS

Dear Investor,

In case of any grievance / complaint against Sharekhan Limited

• Please contact Compliance Officer:  
Name: Ms. Binkle R. Oza  
Email: complianceofficer@sharekhan.com  
Phone No.: +91 22 62263303

• You may also approach CEO:  
Name: Mr. Jaideep Arora  
Email: ceo@sharekhan.com  
Phone No.: +91 22 6750 2000

• If not satisfied with the response of Sharekhan Limited, you may contact the concerned Stock Exchange / Depository at the following

	Web Address	Contact No.	Email ID.
BSE	www.bseindia.com	+91 22 2272 8517/8097	is@bseindia.com
NSE	www.nseindia.com	1800 266 0058	ignse@nse.co.in
MSEI	www.msei.in	+91 22 6112 9028	investorcomplaints@msei.in
MCX	www.mcxindia.com	+91 22 6649 4070	grievance@mcxindia.com

	Web Address	Contact No.	Email ID.
CDSL	www.cdslindia.com	1800 22 5533	complaints@cdslindia.com
NSDL	www.nsdl.co.in	1800 222 990 +91 22 2499 4200	relations@nsdl.co.in

You can also lodge your grievance with SEBI at <http://scores.gov.in> or you may also write to any of the offices of SEBI.  
For any queries, feedback or assistance, please contact SEBI office on Toll Free Helpline at 1800 22 7575 / 1800 266 7575.

Sharekhan Limited  
Registered Office: The Ruby, 18th Floor, 29 Senapati Bapat Marg, Dadar (West), Mumbai - 400 028, Maharashtra, INDIA. Tel: 022-67502000 Fax: 022-24327343.  
Sharekhan Ltd.: SEBI Regn. Nos.: BSE / NSE / MSEI (CASH / F&O / CD) / MCX - Commodities: INZ000171337;  
DP: NSDL/CDSL-IN-DP-365-2018; PMS: INP000005786; Mutual Fund: ARN 20669; Research Analyst: INH000006183.

AMFI  
ASSOCIATION OF MUTUAL FUNDS IN INDIA  
23-May-2023

Sharekhan Limited  
The Ruby, 18th Floor,  
29 Senapati Bapat Marg,  
Dadar (West)  
Mumbai - 400028  
Mobile: +919136989914

Dear Sir/Madam,

Sub: Renewal of AMFI Registration

With reference to your application for renewal of your AMFI Registration, we are pleased to inform you that the same has been renewed as per details given below:

Name: Sharekhan Limited  
AMFI Registration Number: ARN-20669  
Validity period from: 03-JUL-2023 to 02-JUL-2026

Please ensure that the ARN is renewed well before expiry of validity period so as to ensure that your firm is eligible to continue to promote / distribute mutual fund products and receive commissions from the asset management companies without any break.

As you are aware, before being engaged in selling and marketing mutual fund products, your employees are required to (a) pass 'NISM Series V-A : Mutual Fund Distributors Certification Examination' and (b) register with AMFI and have obtain Employee Unique Identification Number (EUN), under the abovementioned ARN of your firm, in compliance with the SEBI regulatory guidelines. They too are required to renew their EUN before the expiry of validity period thereof, for which they need to either clear the 'NISM Series V-A : Mutual Fund Distributors Certification Examination' or attend the 'NISM Series V-A : MFD Continuing Professional Education (CPE) program' of NISM. Further all such employees need to mention/print their EUN on the mutual fund application forms for the business canvassed by the respective employees, alongside the ARN of your firm.

You are also advised to ensure strict compliance with AMFI Code of Conduct for Intermediaries of Mutual Funds, which is available on our website ([www.amfindia.com](http://www.amfindia.com)) by your organization as well as all your employees engaged in selling and promoting / distribution of mutual fund products.

With regards,  
For Association of Mutual Funds in India,

Dy. Chief Executive

Naman Corporation Link, Wing C, Unit No. 701, Plot Nos. C-31 / C-32, G Block, Bandra Kurla Complex, Bandra - East, Mumbai - 400 051, INDIA  
Tel. CAMS (AMFI Unit) +91 44 3061 2911 E-mail: enq\_amfi@camsonline.com

SEBI  
भारतीय प्रतिभूति और विनियम बोर्ड  
Securities and Exchange Board of India

MARKET INTERMEDIARIES REGULATION & SUPERVISION DEPARTMENT  
DIVISION OF REGISTRATION

MIRSD/DOR/35355 / 1/2018  
December 27, 2018

The Managing Director  
Central Depository Services (India) Limited,  
Mafatal Mills Compound,  
NM Joshi Marg, Lower Parel,  
Mumbai - 400 013.

Dear Sir,

Sub: Effecting the change in the registered office address of DP - SHAREKHAN LIMITED Ltd. (IN-DP-365-2018)

Please refer to your online application No. 734154 on the captioned subject.

In this regard, we would like to inform you that the captioned request has been taken on record.

Yours faithfully,  
Geeta V. Menon  
AA

Encl.: As above

CC: SHAREKHAN LIMITED  
10th FLOOR, BETA BUILDING, LODHA I THINK TECHNO CAMPUS  
OFF. J.V.L.R, OPP. KANJURMARG RAILWAY STATION, KANJURMARG (E)  
MUMBAI  
MAHARASHTRA-400042

सेबी भवन, प्लॉट सं. सी 4-ए, "सी" ब्लॉक, बंद्रा कुर्ला कॉम्प्लेक्स, बंद्रा (पूर्व), मुंबई - 400 051.  
दूरभाष : 2644 9950 / 4045 9950 (आई.टी.एस.), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in

SEBI Bhawan, Plot No. C4-A, "C" Block, Bandra Kurla Complex, Bandra (E), Mumbai - 400 051.  
Tel.: 2644 9950 / 4045 9950 (IVRS), 2644 9000 / 4045 9000 Fax : 2644 9019 to 2644 9022 Web : www.sebi.gov.in